

SUBSIDIARY LEGISLATION

to the Gazette of the United Republic of Tanzania No. 42 Vol. 97 dated 7th October, 2016

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THE CAPITAL MARKETS AND SECURITIES ACT
(CAP.70)

REGULATIONS

(Made under section 148)

THE CAPITAL MARKETS AND SECURITIES (LICENSING) (AMENDMENT) REGULATIONS, 2016

Citation

G.N. No 417
of 1996

1. These Regulations may be cited as the Capital Markets and Securities (Licensing) (Amendment) Regulations, 2016 and shall be read as one with the Capital Markets and Securities (Licensing) Regulations, 1996, hereinafter referred to as the “principal Regulations”.

Amendment
of the First
Schedule

2. The principal Regulations are amended in the First Schedule by deleting the Form 7 and substituting for it the following:

“FORM 7

LICENCE

THE CAPITAL MARKETS AND SECURITIES ACT (CAP. 79)

(Regulation 14)

Licence No.

Capital Markets and Securities (Licensing) (Amendment)

G.N. No. 284 (contd.)

THIS LICENCE is granted to
(name)
of.....
.....
.....(address)and
authorises the said person to conduct or carry on the business of
.....
.....(type of business) in the United
Republic of Tanzania for a period of one year beginning on the
.....
and ending on the(both dates inclusive).

THIS LICENCE is issued subject to the Capital markets and Securities Act, the
Regulations and Rules made thereunder and such conditions as by the Authority
and the stock exchange (of which the applicant is or proposes to be a member)
may stipulate.

Dated the 20.....

.....
Chief Executive Officer
Capital Markets and Securities Authority”

Dar es Salaam,
27th September, 2016

PHILIP I. MPANGO,
Minister for Finance and Planning